

Family Member Application – Personal Division

This form is to be completed by you, an existing member, and the family member who wishes to become a member. Before completing this form, the Trustee or your licensed financial adviser is obliged to give you a Product Disclosure Statement (PDS) which is a summary of important information. The PDS will help you to understand the product and decide if it is appropriate to your needs.

Eligibility: An immediate family member includes a spouse (whether of the same sex or a different sex), child, sibling or parent of an employer sponsored member. For information on whether you are eligible to claim a spouse tax offset, please visit the Australian Tax Office superannuation website (www.ato.gov.au) or phone 13 10 20.

Please complete these instructions in BLACK INK using CAPITAL LETTERS (except for your email address) and ✓ boxes where provided. *Indicates a mandatory field or section. If you do not complete all of the mandatory fields or sections, there may be a delay in processing your request.

1 Existing member employer details

Employer name	<input type="text"/>
Employer code	<input type="text"/>

2 Existing member details

Account number	<input type="text"/>
*Title	<input type="text"/> *Surname <input type="text"/>
*Given name(s)	<input type="text"/>

3 Family member details

*Title	<input type="text"/> *Surname <input type="text"/>
*Given name(s)	<input type="text"/>
*Tax File Number ¹	<input type="text"/> <input type="text"/> <input type="text"/>
Current residential address	
*Unit No	<input type="text"/> *Street No <input type="text"/>
*Street name	<input type="text"/>
*Suburb	<input type="text"/> *State <input type="text"/> *Postcode <input type="text"/>
Postal address (if different to above)	
*Unit No	<input type="text"/> *Street No <input type="text"/>
*Street name/PO Box	<input type="text"/>
*Suburb	<input type="text"/> *State <input type="text"/> *Postcode <input type="text"/>
Phone (home)	<input type="text"/> Phone (work) <input type="text"/>
Mobile	<input type="text"/> Gender Male <input type="checkbox"/> Female <input type="checkbox"/>
*Email address	<input type="text"/>
*Date of birth	<input type="text"/> / <input type="text"/> / <input type="text"/>
Occupation	<input type="text"/>

1 Important information on providing Tax File Numbers

Under superannuation law, we are authorised to request your TFN which will only be used for lawful purposes (e.g., locating your super benefits in our records or calculating tax on benefits you may be entitled to). It is not an offence if you choose not to provide your TFN, but if you do not, we will not be able to accept all types of contributions to your account and/or you may pay more tax on your super.

Please read the information on TFNs in the Product Disclosure Statement (PDS) before providing your TFN.

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*Anti Money Laundering (AML) and Counter Terrorism Financing (CTF)

In accordance with Anti Money Laundering (AML) and Counter Terrorism Financing (CTF) Law, please advise the following:

(Note: your application cannot be processed unless this section is completed and you may be requested to provide additional information and documentation to facilitate IOOF's compliance with AML/CTF Law)

Politically exposed person

Politically exposed persons are individuals who occupy a prominent public position or function in a government body or international organisation, either within or outside Australia. This definition also extends to their immediate family members and close associates.

Are you a politically exposed person?

Yes No

Source of wealth (the origin of your financial standing or total net worth ie, how you generated your wealth)

Employment Investments Other (please specify, eg inheritance)

Source of funds (the origin of your contribution to set up your account)

Employment Investments Other (please specify, eg inheritance)

*4 Contribution eligibility requirement (family member to complete)

I am under 65 years of age

OR

I am over 65 and under 70 years of age. I have worked at least 40 hours over 30 consecutive days during this financial year.

OR

Only mandated contributions (SG or award) will be made to this account.

5 Nomination of beneficiaries

Your family member may complete a Binding Death Benefit Nomination form or Non-Binding Death Benefit Nomination form available from our website or by contacting our client services team. Otherwise, in the event of your family member's death, any benefits will be paid to his/her Legal Personal Representative on behalf of their estate.

6 Deposit instruction (family member to complete)

You are required to make an investment choice as part of your application. If you have not made a decision about your Deposit Instruction, you can choose to invest in the Cash Account until you make another investment choice. Alternatively, if you wish the Trustee to take responsibility for your investments, you can choose to invest all of your super into the MySuper default investment strategy.

Please advise us of your Deposit Instruction.

Please note:

- Please ensure that the Deposit Instructions includes at least the default minimum allocation of 1% against the Cash Account. The percentages allocated to the Cash Account and your selected investment option(s) must add up to 100%.
- For a full list of investment options available for selection within Pitcher Partners Superannuation Fund go to our website and select from the investment guide (PPS.01) and list your selections in the space provided.
- To ensure the investment option selections are listed correctly please add the APIR code along with the name of the investment option.
- Term deposits and listed investments cannot form part of your Deposit Instruction.

Cash Account

OR

MySuper member (default investment strategy – 99% IOOF Balanced Investor Trust, 1% Cash Account)

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OR

Choice member

APIR Code

I	O	F	0	2	5	3	A	U	
I	O	F	0	2	5	4	A	U	
I	O	F	0	0	9	0	A	U	
I	O	F	0	2	5	5	A	U	

Investment option

Cash Account (Mandatory)
IOOF MultiSeries 30
IOOF MultiSeries 50
IOOF MultiSeries 70
IOOF MultiSeries 90

Allocation (%)

Total (must = 100%)

- Your application cannot be processed unless this section is completed.
- If you require more space or you wish to select listed investment or term investments, please go to our website and download the New Member Investment Authority form.

7 Cash Account preferences

Cash Account limits

You must maintain a minimum percentage allocation to the Cash Account.

Please specify one of the following options:

Cash Account default minimum of 1%

OR

Cash Account percentage nominated in your Deposit Instruction

You may also nominate a dollar based cap on the amount held in your Cash Account, subject to a \$5,000 minimum.

To set a Cash Account cap, please specify the amount here \$

If you are a MySuper member, we will top-up your Cash Account to the default minimum of 1%. The Cash Account dollar based cap is not available if you are a MySuper member.

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Cash Account top-up

If the balance in your Cash Account is zero or below, the Trustee will top up the balance to the lower of:

- the Cash Account default minimum of 1% or the Cash Account percentage nominated in your Deposit Instruction; or
- the Cash Account cap

We will top up your Cash Account balance by redeeming the necessary amount from your managed investments (without prior notice to you) in accordance with the method you have selected below:

Pro-rata (default option) – Redeem funds across all managed investments according to the proportion of the portfolio that they represent.

OR

Redemption instruction – percentage – Redeem funds from specified managed investments according to the percentage allocation nominated below.

Please note:

- The percentages allocated your selected investment option(s) must add up to 100% not including the Cash Account.
- To ensure the investment option selections are listed correctly please add the APIR code along with the name of the investment option.
- Restricted investments, annuity funds, term deposits and listed investments cannot form part of redemption instruction – percentage.

APIR Code	Investment option	Allocation (%)
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
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<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
Total (must = 100%)		<input type="text"/>

- If you don't indicate a top-up method, the default option of pro-rata will be applied.
- If you are a MySuper member, we will top up your Cash Account from the IOOF Balanced Investor Trust.

8 Income preferences

I direct the Trustee to manage income distributions that I receive from managed investments as follows:

Re-invest (default option) – Re-invest 100% of the income distributions back into the same managed investment that made the income distribution.

OR

Retain in Cash Account – Leave all income distributions to accumulate in my Cash Account.

If you don't indicate your income preference, the default option of re-invest will be applied.

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9 Member advice fees

If you have selected the MySuper default investment strategy in section 6 you are unable to select the Member Advice Fee – Upfront. Please refer to the PDS for further information.

Choose your fee option. Fees should be provided including GST. All boxes for the relevant fee option must be completed. Blank boxes will default to nil.

Member Advice Fee – Upfront Up to a maximum of 5.50% of each contribution:	
<input type="checkbox"/> Contributions	<input type="text"/> %
<input type="checkbox"/> Transfers/rollovers (not applicable to transfers from existing accounts within IOOF Employer Super)	<input type="text"/> %

Member Advice Fee – Ongoing	
<input type="text"/> . <input type="text"/> <input type="text"/> % pa	(up to a maximum of 2.20% pa of account balance)
AND/OR	
\$ <input type="text"/>	(up to a maximum of \$18,000 pa)

Member Advice Fee – Insurance Up to a maximum of 50% of each insurance premium:	
Primary: Death or Death & TPD cover	<input type="text"/> % pa
Additional: Death or Death & TPD cover	<input type="text"/> % pa
Income protection cover	<input type="text"/> % pa
OR	
Up to a maximum of \$18,000 pa	\$ <input type="text"/>

Notes:

- Maximum fees above include GST.
- For further information on adviser remuneration and advice fees, please refer to the PDS.

Member advice fees (if applicable)

- I authorise the Trustee to charge the member advice fee(s) selected in section 9 against my account.
- The amount of any member advice fee(s) that are paid to my financial adviser, as agreed by me, will be an additional cost to me and charged against my super account. A member advice fee will not be charged unless I tell the Trustee to do so.
- Any agreed member advice fee(s) will be charged to my account and paid in full to the financial adviser, until I instruct the Trustee to cease payment.

Member signature	<input type="text"/>	Date	<input type="text"/>	/	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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Adviser name	<input type="text" value="PITCHER PARTNERS CORPORATE FINANCE LIMITED"/>
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Licensee name	<input type="text" value="PITCHER PARTNERS CORPORATE FINANCE LIMITED"/>
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AFSL No	<input type="text" value="255516"/>	Adviser code	<input type="text" value="2341"/>	Dealer code	<input type="text" value="2050"/>
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Adviser signature	<input type="text"/>	Date	<input type="text"/>	/	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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10 Insurance cover

The Fund offers death, death and total & permanent disablement and income protection insurance. Your family member may apply for insurance cover by completing the Application for Insurance available from our website or by contacting our client services team.

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11 Transfer of existing super

Does your family member have any previous superannuation funds which he/she would like to transfer into the Fund? Yes No

If 'Yes', your family member must complete a Request to Transfer form available from our website or by contacting our client services team.

12 Request for SuperMatch search and consolidation

SuperMatch makes consolidating your super easy by using the ATO's automatic electronic search facility.

When you complete this section, you will be authorising the Trustee to conduct a search for your super monies with other superannuation funds including lost member accounts or any ATO-held super (such as super guarantee payments, superannuation holding accounts, Government super contributions or unclaimed superannuation monies).

Important note:

- To use this feature, please ensure you provide your TFN and email address in Step 1.
- Any ATO-held super monies located will be automatically transferred to your account without any further instructions from you.
- You will be notified of any super accounts located from the SuperMatch search in an email. Note that super accounts located cannot be automatically transferred without your authorisation. Please note that any insurance attached to your accounts may be cancelled as a result of consolidating your super accounts.
- Please read the SuperMatch declaration on page 7 for important information.

A Do you wish the Trustee to conduct a SuperMatch search? Yes No

(Note: If you only want to be notified of the search results, select No in part B).

B Do you wish to consolidate your super accounts using SuperMatch? Yes No

Your request for us to conduct a SuperMatch search and consolidation will not be accepted if your TFN and email address are not provided.

13 Existing member declaration

- I acknowledge that I will advise the Trustee if my circumstances change (for example, if I am no longer living with my spouse on a bona fide domestic basis or have separated or divorced from my spouse).
- I also agree that if any contributions are deposited into my family member's account I have no further right or claim over the benefit arising from these contributions, other than as a possible nominated beneficiary in the event of my family member's death.
- I declare that all the information contained in this form is true and correct.

Member signature

Date

 / /

12 Family member declaration

Important note: Information provided to the Trustee is primarily used for the purpose of opening a superannuation account and for other ancillary purposes detailed in the PDS and Privacy Policy, for example: assisting your financial adviser in providing you with advice, facilitating requested insurance and to provide you with statements through mail houses. The Trustee may disclose your personal information, such as, your name and contact details, along with your account information to its related bodies corporate, your employer, your financial adviser, insurers, mail houses, professional advisers, businesses that may have referred you to the Trustee, medical professionals where you have applied for insurance cover, banks and other financial institutions.

The Trustee is required to collect your personal information under the *Superannuation Industry (Supervision) Act 1993 and the Anti-Money Laundering and Counter-Terrorism Financing Act 2006*. If you do not provide all of the requested information, the Trustee will not be able to action your request. The Trustee is not likely to disclose your personal information to overseas recipients. Your personal information will be used in accordance with the Trustee's Privacy Policy. The Privacy Policy contains information about how you may access or correct your personal information held by the Trustee and how you may complain about a breach of the Australian Privacy Principles. You may request a copy of the Privacy Policy by contacting our client services team on (07) 3222 8444 or through the IOOF website at www.ioof.com.au/privacy.

- I have received and read the PDS, including the section outlining my Duty of Disclosure. I understand that my membership will be subject to the terms and conditions specified in the Trust Deed and Rules governing the Fund. Within the Rules, I further agree to be bound by any decision made by the Trustee, IOOF Investment Management Limited. In support of this application, I submit the following information and undertake to notify the Trustee of any changes.
- I consent to the collection and use of the above information by the Trustee for the purposes specified in the PDS.
- I confirm that all details supplied in this form are true and correct.
- I understand that by electing the MySuper default investment strategy I will only be invested in the IOOF Balanced Investor Trust and MySuper fees will apply to my account.
- I understand that by electing a Choice investment strategy I have access to the full investment menu and Choice fees will apply to my account.
- I understand that the fees on my account may change in the following events:
 - my family member's employment status changes
 - I am no longer living with my spouse on a bona fide domestic basis (only applicable if you are the spouse of the existing family member)
 - I have separated or become divorced from my spouse (only applicable if you are the spouse of the existing family member).

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SuperMatch

By completing Step 12 – Request for SuperMatch search and consolidation:

- I authorise the Trustee to store, use and disclose my TFN and any other information held by the Trustee, as authorised by law, to contact the ATO or use SuperMatch (or such other ATO authorised search facilities), contact other super funds identified by the search result, to find out if they have other super monies and to meet any requirements set by the relevant super laws, the ATO or other regulatory authorities in relation to consolidating my super accounts
- I understand that my consent provided in relation to the use, storage and disclosure of my TFN when I provide the Trustee with my TFN details continues to apply unaffected.
- I authorise the Trustee to receive any ATO held super monies identified through the SuperMatch search and to transfer the ATO held super monies to my account.
- By ticking Yes in Step 12B, I instruct the Trustee to transfer to my IOOF super accounts the balance of any accounts held by other super funds found as a result of any searches authorised to be conducted.
- I authorise the deduction of the transfer fees, if applicable, by my previous super fund from the super monies rolled over to my IOOF super account.
- I am aware that I may ask IOOF for information about any fees or charges that may apply to a rollover, or any other information about the effect that the rollover may have on my super monies.
- I acknowledge that the Trustee cannot guarantee that all of my super accounts will be found and/or transferred to my IOOF super account despite all reasonable efforts being made.
- I am aware that any insurance attached to my accounts may be cancelled as a result of transferring my super monies to my IOOF super account.

Family member's signature

Date

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Please forward all correspondence and queries to

Pitcher Partners Superannuation Fund, GPO Box 1144, BRISBANE QLD 4001 Email info@pitcherpartners.com.au
Client services team (07) 3222 8444 Facsimile (07) 3221 7779 Website www.pitcher.com.au/Brisbane